GLOBAL WASH CLUSTER STRATEGIC PLAN 2016 – 2020 – RESULT FRAMEWORK

SO1: To provide timely operational support to national and sub-national humanitarian WASH coordination platforms to meet the TA 6+1 core functions and improve Humanitarian WASH service delivery

Outcomes	Outcome indicators	Outputs	Output indicators	B (2016)	T (2020)	SoV
1.1 **Preparedness -** In countries prioritized for emergency preparedness, National Humanitarian WASH Coordination (NWHC) platforms have an updated and operational emergency preparedness plan (including contingency plans) that are agreed among the partners and consistent with the national preparedness plan.	% of NHWC platforms, in countries prioritized for emergency preparedness[1] as validated by CAST, with updated and operational preparedness plan (including contingency plans) meeting minimum requirements[2] *Baseline (2016):0%* *Target (2020): 50%*	1.1.1 GWC Guidelines on Emergency Response Preparedness Plan (ERPP) available for all NHWC platforms.	All NHWC platforms have been formally informed of the existence of the guidelines and where to find them	0	1	• Communication by CAST to NHWC platforms • FST work plan, • GWC website • CAST/FST ERPP monitoring mechanisms • Analysis of countries 'ERPP and CP based on GWC ERPP/CP checklists' minimum requirements
		1.1.2 In priority countries, ERPP are monitored by GWC and meet minimum requirements	% of priority countries having a ERPP meeting minimum requirements	0	50%	
1.2 **Response:** In HRP covered countries, National and sub-national WASH Humanitarian Coordination platforms deliver the 6+1 core functions and monitor the quality of the Humanitarian WASH service delivery	% NHWC platforms in HRP covered countries delivering minimum requirements[3] for the 6+1 core functions *Baseline (2016): N/A* *Target (2020):70%*	1.2.1 Minimum requirements for the 6+1 core functions are agreed at GWC level	List of 6+1 core function minimum requirements agreed and disseminated to GWC partners	0	1	• Communication by CAST to NHWC platform • CPPM/CMS reports • FST EOM reports • CAST monitoring visit reports • Interagency monitoring visit reports • Reports of AAP mechanism set up by National WASH Platform • Response quality Monitoring reports from NHWC platforms and from partners
		1.2.2 List of core response quality topics to be monitored by NHWC platforms is agreed at GWC level	List of core response quality topics to be monitored by NHWC platforms agreed and disseminated to GWC partners	0	1	
		1.2.3 NHWC platforms in HRP covered countries deliver minimum requirements for the 6+1 core functions	% of NHWC platforms in HRP covered countries delivering minimum requirements for the 6+1 core functions	N/A	70%	
	% L3 emergencies having established a mechanism to yearly monitor the quality of the Humanitarian WASH service delivery *Baseline (2016): N/A* *Target (2020): 100%*	1.2.4 L3 emergencies meet minimum requirements as defined in 1.2.1 and monitoring mechanisms of Humanitarian WASH technical response are evaluated by GWC	% of L3 emergencies, where monitoring mechanisms of Humanitarian WASH technical response are evaluated by GWC and meet minimum requirement	0	100%	
1.3 **Transition -** National WASH Clusters have a transition plan to national WASH coordination platforms that considers the sub-national levels	% of WASH cluster activated countries having a transition plan based on the CCRM and GWC Guidelines *Baseline (2016): N/A* *Target (2020): 40%*	1.3.1 GWC guidelines on transition available to National WASH clusters	All WASH clusters have been formally informed of the existence of the guidelines and where to find them	0	1	• Communication by CAST to WASH clusters • GWC website • Analyse of national WASH clusters transition plan based on CCRM and GWC Guidelines • FST work plan • CAST transition monitoring mechanisms
		1.3.2 Priority WASH clusters countries have transition plans in place, validated by CAST	# of priority WASH clusters countries that have transition plan in place	0	10	
1.4 **Systems -** The delivery of humanitarian WASH coordination functions and the overall quality of the humanitarian WASH response are systematically supported, monitored and evaluated by GWC in HRP covered countries	# of mechanisms set-up by the GWC to support, monitor and evaluate the performance on coordination and the overall quality of the humanitarian response *Baseline (2016):1 (FST)* *Target (2020): 5*	1.4.1 Existing mechanisms[4] to measure performances of NHWC platforms on coordination and overall response quality monitoring are implemented in by GWC in HRP covered countries	A mechanism to measure performances of NHWC platforms on response quality monitoring (complementary to the CCPM(CMS) is set up	0	1	• GWC NHWC platform monitoring dashboard • Related documentation from CLA describing the coordinated approach • CAST monitoring visit report • List and ToR of mechanisms set up by GWC partners • CCPM / CMS reports • FST statistic dashboard • FST end of mission report
		1.4.2 CLA has established a systematic and coordinated approach among Headquarters, Regional offices and WASH Coordination Regional Platforms (when existing) to support NHWC platforms	# of regions where CLA has established a systematic and coordinated approach among Headquarters, Regional offices and WASH Coordination Regional Platforms (when existing) to support NHWC platforms	N/A	4	
		1.4.3 GWC partners' initiatives to set up mechanisms[5] improving surge capacities at national/subnational level are fostered by GWC	# of mechanisms set up by GWC partners to improve surge capacities at national/subnational level	2	4	
		1.4.4 GWC provides effective and timely support on preparedness, transition, coordination, IM, assessment and quality to countries (at national or subnational levels)	% of FST or CAST deployments done 72 hours after request (barring visa constraints) and delivering outputs as per ToRs at the satisfaction of the NHWC platform supported	F	80%	

Outcomes	Outcome indicators	Outputs	Output indicators	B (2016)	T (2020)	SoV
			% of NHWC platforms beneficiating from FST remote support satisfied with it	N/A	80%	
1.5 **Partnership -** Priority partnerships are in place at global level to strengthen the quality of the humanitarian WASH coordination and technical response	# of strategic partnerships set up by CAST recognized by the GWC partners as instrumental to support national and/or sub-national humanitarian WASH coordination platforms *Baseline (2016):0* *Target (2020): 4*	1.5.1 Intercluster arrangements based on type of crisis are set up jointly with priority clusters at global level to provide support and guidance to NHWC platforms	# of Intercluster Arrangements between the GWC and other Global clusters set up	0	3	• CAST/FST work plan • Intercluster Operational Arrangement related documentation • Intercluster joint mission report • List and ToR of partnerships • Evaluation of partnership made by GWC partners during GWC meeting
		1.5.2 Joint support missions with priority Global clusters carried out	# of joint support missions with priority Global clusters carried out per year	0	1/y	
		1.5.3 Partnerships with key organizations are set up at global level to strengthen the quality of humanitarian WASH coordination and/or technical response [6]	# of new partnerships set up by CAST and agreed by SAG	0	5	

SO2: To ensure that key WASH stakeholders (sub-national, national and global) have the capacity to coordinate and deliver an appropriate and timely response in emergency

Outcomes	Outcome indicators	Outputs	Output indicators	B (2016)	T (2020)	SoV
2.1 **Systems -** National Humanitarian WASH Coordination platforms and GWC partners benefit from a capacity building system established at global and regional levels to strengthen their ability to deliver the coordination functions	A sustainable capacity building system for NHWC and GWC partners is established with coherent partnerships, mechanisms and tools developed at global and/or regional levels *Baseline (2016): 0* *Target (2020): 1*	2.1.1 GWC Strategy on Learning and Teaching has been developed	GWC Strategy on Learning and Teaching developed	0	1	• CAST/FST work plan • Academic partners course curriculum • Official communication from CAST
		2.1.2 Training packages[7] to improve coordination functions has been developed by GWC in 4 languages	Training packages developed and translated in 4 languages	0	4	
		2.1.3 Tools to strengthen operational support to NHWC platform and GWC partners have been developed / consolidated[8] by GWC	# tools to strengthen operational support to NHWC platform and GWC partners developed/consolidated by GWC	0	5	
		2.1.4 Partnerships[9] on Learning and Teaching have been established with key academic / humanitarian partners	# Partnerships on Learning and Teaching established with key academic / humanitarian partners	0	2	
		2.1.5 Key identified academic partners have mainstreamed at least one of the tools / trainings developed by GWC into their courses	# key academic partners who have mainstreamed at least one of the tools/trainings developed by GWC into their courses	0	2	
		2.1.6 A system for coaching or mentoring of national and sub-national CCs by GWC members is set up	# of CCs having benefited from a coaching / mentoring programme	0	50	
2.2 **Partnership -** GWC partners have reinforced their capacity to support the delivery of core coordination functions of National and sub-National Humanitarian WASH Coordination Platforms	# of GWC partners having taken at least one initiative to strengthen their capacity to improve the delivery of the coordination functions at national and subnational levels that has been assessed as instrumental by GWC partners *Baseline (2016): 1[10]* *Target (2020): 6*	2.2.1 FST model has evolved to gain flexibility, efficiency, and more involvement and autonomy of partners in terms of funding and staffing	A new FST model is developed and adopted by the partners	0	1	• New FST model related document and communications
		2.2.2 FST functions are mainstreamed into key partners' strategy and team[11]	# FST functions mainstreamed into key partner's strategy and teams	2	5	• Partners' WASH strategy and staff organigram
		2.2.3 Full and associate members of the GWC are engaged in capacity building initiatives that are considered instrumental by the GWC partners to reinforce the coordination functions	% partners engaged in capacity building initiatives considered instrumental by the GWC partners	1	6	• Partners' WASH and capacity building strategy

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Outcomes	Outcome indicators	Outputs	Output indicators	B (2016)	T (2020)	SoV
2.3 **WASH practitioners -** The global pool of fit-for-purpose WASH practitioners to support humanitarian WASH coordination is sufficient to meet 'normal[12]' demand	# of WASH practitioners having followed an educational program validated by the GWC SAG or one of GWC training packages in Coordination & Leadership, Information Management and/or Assessment *Baseline (2016): 130/y* *Target (2020): 350/y*	2.3.1 Planned number of educational programs (validated by the GWC SAG) and trainings (corresponding to GWC training packages in Coordination & Leadership, Information Management and/or Assessment) have been delivered by universities/institutions	# of educational program (validated by the GWC SAG) or training (corresponding to GWC training packages in Coordination & Leadership, Information Management and/or Assessment) delivered by universities/institutions	0	15	• List and content of training delivered by target universities and institutions • Educational program's list of students • GWC training packages list of participants
		2.3.2 Planned number of WASH practitioners have been trained on at least one of the priority topics identified by the GWC[13]	# WASH practitioners that have been trained on at least one of the priority topics identified by the GWC	130/y	350/y	• GWC training on priority topics list of participants

SO3: To influence and advocate for an effective humanitarian WASH coordination response and funding

Outcomes	Outcome indicators	Outputs	Output indicator	B (2016)	T (2020)	SoV
3.1 There is an increased focus on **Humanitarian WASH coordination, response and funding** within global agendas	Yearly % of WASH component from Flash Appeals and HRP budget funded *Baseline (2016): 38%* *Target (2020):60%*	3.1.1 GWC is adequately funded	% of funding of GWC annual work plans collected	38%	80%	• Analyse of GWC yearly budget requirements Vs funded, Financial Tracking System • Advocacy system ToR • CAST/FST workplan • GWC priority Advocacy messages dashboard • GWC advocacy strategy report
	# of humanitarian WASH related issues voiced by the humanitarian or development community at global level in humanitarian and development fora[14] or through global partnerships *Baseline (2016): 0* *Target (2020):6*	3.1.2 GWC advocacy system (identification, prioritization, voicing, follow up) has been set up	GWC advocacy system (identification, prioritization, voicing, follow up) set up	0	1	
		3.1.3 Humanitarian WASH related issues from global level or very high priority countries[15] have been identified at global level and related key advocacy messages developed	# of advocacy messages on identified humanitarian WASH related issues validated by the GWC SAG and disseminated by CAST	0	6	
		3.1.4 Identified humanitarian WASH related issues have been formally voiced at global level in humanitarian and development fora or through global partnerships	# of humanitarian and development for a or global partnership where at least one of the advocacy on identified humanitarian WASH related issues have been voiced	0	2/y	
3.2 The **GWC partnership** is strengthened and broadened both at global level	% of GWC full or associate members having contributed towards achievement of one or several outputs of the GWC strategic work plans *Baseline (2016): N/A* *Target (2020):40%*	3.2.1 GWC Resource Mobilization Strategy is developed	GWC Resource Mobilization Strategy developed	0	1	• GWC Resource Mobilization Strategy • CAST work plan • GWC advocacy system report
		3.2.2 A mechanism ensuring regular coordination meetings of GWC with donors has been set up	# of regular coordination meetings of GWC with donors per year	0	1/y	

SO4: To provide to the Humanitarian WASH actors a timely access to appropriate and accurate knowledge on coordination and response

Outcomes	Outcome indicators	Outputs	Output indicator	B (2016)	T (2020)	SoV
4.1 Humanitarian WASH related **Lesson Learned, evidences and innovative approaches** corresponding to identified priority gaps are	% of disseminated Lesson learned, evidences and innovative approaches corresponding to identified priority gaps that have been used by	4.1.1 A functioning KM system is set up and available to all GWC members and NHWC platforms	KM system set up	0	1	• KM system related documentation

disseminated and used by GWC partners to improve coordination and response in subsequent emergencies	GWC partners in subsequent emergencies and considered as having a positive impact on coordination and/or response *Baseline (2016):N/A* *Target (2020):*10 LL disseminated, 6 LL used by partners	4.1.2 CAST and/or GWC members receive a mandate from the GWC partners to actively participate in global initiatives, networks[16] and community of practices[17] to identify trends and challenges, to share evidence-based information and to influence the humanitarian sector by considering WASH aspects	# of global initiatives, network and community of practice to which GWC members actively participate[18]	0	8	• Report from GWC members on participation to global initiatives, networks and community of practices • Knowledge management platforms usage and participation reports • Global online file sharing platform usage statistic • Reports from partners during GWC meeting on application of LL in the field • Partners' program Real Time Evaluation and After Action Reviews • Cluster Coordination model and performance reviews report
		4.1.3 Dedicated platforms[19] that enable GWC members and NHWC platforms to share experiences and be proactive in knowledge management on key / emerging topics[20] or humanitarian responses[21] are developed and managed by CAST, FST and GWC partners	# Dedicated platform set up	0	5	
			Humanitarian WASH related global online file sharing platform are used as planned	N/A	• At least 30 documents related to priority gaps uploaded • At least 50 visits /day averaged over one year in each platform (excluding FST & CAST)	
		4.1.4 Cluster coordination models and performance are systematically reviewed for L3 emergencies and priority countries to extract lessons learnt and best practices[22]	# L3 emergency and priority countries where cluster coordination models and performance are reviewed	0	100%	
			# LL and best practices extracted from coordination models and performance reviews	0	5	

[1] A list of priority country is available and updated each year based the following criteria: Level of risks, Existing emergencies, Sector coordination capacity, Aggravating factors, and Climate change concerns

[2] Minimum requirements for emergency preparedness plans: 1) risk monitoring, 2) arrangement for coordination 3) arrangements on assessment, information management and response monitoring, 4) WASH sector Capacity Mapping, 5) Capacity Development Plans including minimum preparedness actions 6) arrangements to deliver the response, 7) Contingency Plans,

[3] Minimum requirements for the coordination 6+1 functions to be defined in 2017 and validated by SAG: 1) Coordination arrangements are set up and national / sub-national platforms are operational. There is a Strategic Advisory Group, an updated list of partners, regular meetings organized at national and subnational levels with minutes and action points, 2) a needs-based strategy response plan exists; it is supported by a strategic operating framework (including AAP aspects); results and funding needs are regularly monitored; 3) activities of cluster partners are monitored (4/5 Ws), 4) funding, coverage, and quality gaps in the response are monitored and addressed, 5) the performance of the coordination is monitored

[4] CCPM, CMS

[5] Existing mechanisms include: FST, IARRM. Foreseen mechanisms include: Joint Operational Arrangements with other life-saving clusters, Systematization of CLA support to NHWC platforms through HQ and Regional Offices.

[6] Foreseen partnerships : Assessment (UNDAC/CDC), Preparedness (SWA), Surge (German WASH network), Quality (tbd), Global Cholera Task Force, Intercluster joint-workplans, community engagement (C4D section of UNICEF), Vector Control (Global Malaria Program), Urban WASH (UNHABITAT)

[7] Planned training packages include : basic & advanced/simulation training packages on coordination and Leadership, Information Management, Assessment, Transition and preparedness, Accountability to Affected Population

[8] Planned tools include : EPRP guidelines, GWC Transition Guidelines, Humanitarian WASH capacity mapping guidelines, Assessment Tool kit, Knowledge Management Tool kit, Intercluster Matrices, updated WASH Cluster handbook, GWC AAP guidelines, WASH response Costing Guidelines, GWC Response Quality Monitoring Guidelines, Capacity mapping guidelines and tools, Information management tool kit, Training packages

[9] For instance: potential partnership with Bioforce, WEDC, CAWST Red-R, etc...

[10] ACTED, with REACH

[11] Example of core function mainstreamed into partner's strategy and teams: UNICEF leading transition from WASH clusters to NHWC platforms. ACF leading GWC Advocacy activities, REACH leading Assessments function, OXFAM leading Market Based Programming and Operational Coordination, CARE leading gender

[12] Normal demand has been defined as all L1 + two L2 and one L3 emergencies at a time

[13] Priority topics can include: WASH & epidemiology, Urban WASH, Emergency Response Preparedness Plan guidelines, WASH capacity mapping guidelines, Assessment Tool kit, Knowledge Management Tool kit, Intercluster Matrices, Market Based Programming

[14] Example of planned fora: World Humanitarian Summit, Sanitation and Water for All partnership meeting, United Nations Climate Change Conferences (especially Conference Of the Parties), United Nations Conference on Sustainable Development, Stockholm World Water Week

[15] For example underfunded responses (less than 25% of HRP/Flash appeal funds collected)

[16] Examples: World Water Week, Emergency Environmental Health Forum, Sanitation and Water for All, Cholera Task Force, Triplex

[17] Example : Hygiene promotion

[18] "Active participation" is defined as "have been able to identify trends and challenges, share evidence-based information and influence the humanitarian sector"

[19] Example of platforms: Q&A technical support, GWC website, Social Medias, Humanitarian Library or Knowledge Points

[20] Examples: WASH in nutrition updates, emerging lessons Learned on Ebola

[21] Examples: New Level 3 emergencies, sudden onset crisis and priority countries

[22] Example: the Global Cluster Learning Project which succeed to provide a synthesis of good practices, lessons learned and recommendations for the roll-out of the cluster approach in the WASH sector